and which resists deformation. F<sup>-</sup> is complexed but I<sup>-</sup> does not form a stable complex, nor do other anions like NO3<sup>-</sup>, SO4<sup>2-</sup>, etc.<sup>24</sup>

The design of cavities acting as receptors for other anions is feasible; the major requirement is to provide suitable electrostatic interactions and the correct array of hydrogen bonds for the anion while hindering as much as possible the hydration of the hydrogen bond donor sites. A new field of coordination chemistry is thus developing: anion complexes of organic ligands.

#### Prospects

Several lines for future developments may be recognized: (1) the design and synthesis of new types of organic molecules; (2) the construction of specific receptors bearing recognition sites for cations, anions, and molecules; (3) the design of molecular catalysts and selective carriers; (4) the synthesis of ligands bearing several cation-complexing sites and thus forming binuclear or polynuclear complexes in which distance and arrangement of the cations may be regulated via ligand structure: (5) the study of cascade complexes formed by substrate inclusion between metal cations of bi- or polynuclear complexes, which are of interest as bioinorganic models and as catalysts.

As a symbiosis of the architectural power of organic synthesis with the designed manipulation of intermolecular interactions and transformations, the chemistry of macropolycyclic systems may have a broad impact on both fundamental and applied research at the ternary meeting point of three major fields of chemistry: organic chemistry, inorganic chemistry, and biochemistry.

I wish to express my gratitude to the co-workers whose skill and dedication allowed us to realize the work described above. Their names appear in the references listed. The expenses incurred were borne by grants from the Centre National de la Recherche Scientifique, the Délégation Générale à la Recherche Scientifique et Technique, and the University Louis Pasteur.

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## **Reactions of Electrophiles with** $\sigma$ **-Bonded Organotransition-Metal Complexes**

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Mainly because of the need to start with well-characterized substrates, most of the mechanistic studies of the cleavage of carbon-metal  $\sigma$  bonds have utilized isolable, relatively stable, usually diamagnetic, organometallic complexes. Since by their very nature the selected complexes are not very prone to unimolecular decomposition in solution, the overall picture of reaction mechanisms is somewhat distorted, biased in favor of reagent-induced or concerted mechanisms. Nevertheless, such limited studies of mechanism have provided valuable information about ways in which carbon-metal bonds may be cleaved, and much of our present knowledge concerns those reactions promoted by electrophilic reagents. Even within this classification, however, a surprisingly wide range of different mechanisms have been identified and can be ascribed to the versatile character of many organometallic substrates and of electrophilic reagents.

In the field of  $\sigma$ -bonded organotransition-metal complexes, the variety of ligands often required to stabilize the carbon-metal bond ensures that such complexes are frequently polyfunctional molecules

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containing groups of widely different character, atoms of significantly different electronegativity and any of a range of possible charges. The highest occupied molecular orbital, which usually plays a vital role in these reactions, may be located on the metal, on one of the ligands, or in metal-ligand bonds. Thus the initial stage of a reaction between an organotransition-metal complex and an electrophile does not necessarily involve cleavage of the carbon-metal bond, though such cleavage frequently occurs in later processes.

Electrophiles are also versatile species, but in a different sense: they are also Lewis acids and oxidizing agents. The term *electrophilicity* should imply a kinetic role,<sup>1</sup> often rather narrowly associated solely with substitution processes, whereas Lewis acidity implies the corresponding thermodynamic role. Electrophilicity and Lewis acidity do not necessarily parallel one another, for each is markedly dependent upon the reacting center on the substrate. There have been a number of theories to account for these variations in electrophilicity and acidity, including the hard/soft<sup>2</sup> or class A/B approach,<sup>3</sup> the Edwards combination of proton acidity and redox potential discussed mainly with the nucleophilic center in mind,<sup>4</sup> and the orbital approach

F. Basolo and R. G. Pearson, "Mechanisms of Inorganic Reactions", 2nd ed, Wiley, New York, N.Y., 1967, p 124.
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of Klopman,<sup>5</sup> but it is beyond the scope of this review to discuss them in detail.

For any particular pair of reagents, electrophile and organometallic substrate, we may therefore anticipate three main types of primary reaction: displacement reactions, Lewis acid/base complex formation, and electron transfer, which are unlikely to be restricted to a single definable site and may each have a variety of possible consequences.

The electrophiles fall into three main categories: metallic electrophiles such as Hg(II), Hg(I), Tl(III), Ag(I), Cu(II), Pt(IV), Ir(IV), Au(III), Ce(IV); organic electrophiles such as Ph<sub>3</sub>C<sup>+</sup>, R<sub>3</sub>O<sup>+</sup>, RI, TCNE,<sup>6</sup> (CF<sub>3</sub>)<sub>2</sub>CO; and inorganic electrophiles such as H<sup>+</sup>, HNO<sub>2</sub>/H<sup>+</sup>, halogens, and SO<sub>2</sub>. Several types of organometallic substrate occur repeatedly in the discussion, notably organocobalt(III) and organoiron(II) complexes. This is a result of their ease and cheapness of preparation and characterization, the seemingly endless variety of reactions they undergo, the interests of the author, and, in the case of organocobalt complexes, their importance in relation to the chemistry of coenzyme B<sub>12</sub>.<sup>7,8</sup>

This review is concerned solely with  $\sigma$ -bonded substrates and largely with the electrophiles listed above, thus excluding some reactions, such as those of tetrafluoroethylene, which may involve synchronous or sequential attack of electrophilic and nucleophilic ends of the reagent on the substrate. Our main classification is in terms of neither the type of substrate nor the character of the electrophile, but in the degree of change of the carbon-metal bond in the primary reaction step and the site of primary attachment of the *electrophile* to the substrate. We distinguish between reactions involving synchronous attack of the electrophile with cleavage of the carbon-metal bond, reactions in which the carbon-metal bond is modified, reactions in which there is little influence of or on the carbon-metal bond. There are naturally a number of "gray" areas where the mechanism has only been partly elucidated and which may eventually fall into one of several classes. We stress that we are concerned with the earliest detectable point of attachment of the electrophile in the primary step. This has the advantage of being more readily defined and of immediate practical interest, but does not preempt the subtleties and semantics about the orbitals involved in the approach of the two reagents.

# Reactions Involving Direct Displacement of the Metal by Attack at the $\alpha$ Carbon

Attack at the  $\alpha$  (Saturated) Carbon. The cleanest examples of attack of electrophiles at the  $\alpha$  carbon of  $\sigma$ -bonded organotransition-metal complexes involve the d<sup>3</sup> organopentaaquachromium(III) ions (1) which, besides being the only paramagnetic substrates discussed in this review, are coordinatively saturated, have essentially nonlabile ligands (within the time scale of the

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$$\frac{\text{RCH}_{2}\text{Cr}(\text{H}_{2}\text{O})_{s}^{2+} + M^{n+} \rightarrow \text{RCH}_{2}M^{(n-1)+} + \text{Cr}(\text{H}_{2}\text{O})_{s}^{3+}}{1}$$
(1)

$$\operatorname{ArCH}_{2}\operatorname{Cr}(\operatorname{H}_{2}\operatorname{O})_{5}^{2^{+}} + \operatorname{IBr} \to \operatorname{ArCH}_{2}\operatorname{I} + \operatorname{Cr}(\operatorname{H}_{2}\operatorname{O})_{6}^{3^{+}} + \operatorname{Br}^{-} (2)$$

$$-d[\operatorname{RCr}(\operatorname{III})]/dt = k_1[\operatorname{RCr}(\operatorname{III})] +$$

 $k_{2}[RCr(III)][electrophile] (3)$ 

electrophilic reactions concerned), have low-lying d orbitals none of which are doubly occupied, and are difficult to oxidize. These complexes, which have all been studied using dilute aqueous acidic solutions, react with the widest range of electrophiles (e.g., Hg(II), Hg(I), Tl(III), Br<sub>2</sub>, I<sub>2</sub>, IBr, NO<sup>+</sup>, NOCl, and H<sup>+</sup>), and in almost all cases (the exceptions mainly being some cases in which the proton is the electrophile) the observed products are those expected for attack of the electrophile at the  $\alpha$  carbon with displacement of the highly reactive, coordinatively unsaturated, pentaaquachromium(III) ion (2).

For example, in the reaction of several alkyl- and benzylchromium(III) ions with I2,9 Br2,9 IBr,10 Hg2+, and RHg<sup>+,11</sup> only the hexaaquachromium(III) ion is obtained (eq 2), and in the reaction of the pyridiniomethylchromium(III) ions  $(1; R = Hpy^+)^6$  with HgCl<sub>2</sub> in the presence of added chloride ion (eq 1;  $M = HgCl_2$ ,  $n = 0^{12}$  the inorganic product is that mixture of hexaaquachromium(III) ion and chloropentaaquachromium(III) ion expected if the five-coordinate intermediate 2 were captured on encounter by chloride ion or solvent. Because of their characteristic spectra, these organochromium ions have been the subject of numerous kinetic studies, though for experimental reasons no stereochemical studies have been made. Nearly all the reactions with electrophiles (bar many of those with the proton) show good second-order kinetics (eq 3;  $k_1 = 0$ ) under a variety of conditions, and the rate coefficients  $k_2$  for the cleavage of 3 show small substituent effects ( $\rho = -1.29, -0.81, -0.62, \text{ and } -0.85,$ for Br<sub>2</sub>, I<sub>2</sub>, Hg<sup>2+</sup>, and MeHg<sup>+</sup>, respectively),<sup>9,11</sup> consistent with the proposed concerted reaction.

The variation in rate coefficient  $k_2$  for the reaction of mercury(II) and thallium(III) species with the pyridiniomethylchromium(III) ions (1; R = Hpy<sup>+</sup>) has been interpreted in terms of the separate reactivities of each of the species  $Hg^{2+} \cdot q$ ,  $HgCl_n^{(2-n)+}$ ,  $Tl^{3+} \cdot aq$ ,  $Tl(OH)_n^{(3-n)+}$ , and  $TlCl_n^{(3-n)+}$ , where n = 1-4; the individual rate constants, the values of which depend upon the sequential formation constants for the chloride complexes, are shown in Figure 1.<sup>13,14</sup> The decrease in reactivity on going from  $Hg^{2+}$  to  $HgCl^+$  is in contrast to the increase in reactivity when chloride ion complexes to  $Tl^{3+}$ .

The organochromium(III) ions are the only substrates known to undergo direct substitution with mercury(I) species;<sup>15</sup> the reaction of the pyridiniomethylchromi-

<sup>(5)</sup> G. Klopman, "Chemical Reactivity and Reaction Paths", Wiley-Interscience, New York, N.Y., 1974, p 57.

<sup>(6)</sup> Abbreviations used in this review are: TCNE = tetracyanoethylene; dmgH = the conjugate base of dimethylglyoxime; the name cobaloxime is trivial usage for bis(dioximato) complexes of cobalt; Hpy<sup>+</sup> = pyridinium ions substituted at the 2, 3, or 4 position; chel can be one of a variety of tetradentate ligands.

<sup>(9)</sup> J. H. Espenson and D. A. Williams, J. Am. Chem. Soc., 96, 1008 (1974); J. C. Chang and J. H. Espenson, J. Chem. Soc., Chem. Commun., 233 (1974).

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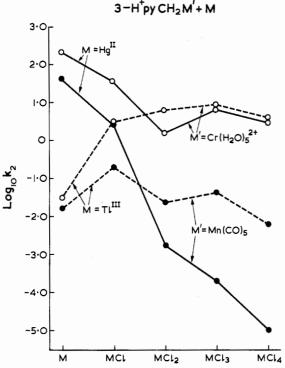


Figure 1. Estimated rate constants for the reaction of mercury(II) and thallium(III) species with 3-pentaaquapyridiniomethylchromium(III) ion and pyridiniomethylpentacarbonylmanganese.

um(III) ions with  $Hg_2^{2^+}aq$  in aqueous solution is too fast to involve the low maintained concentration of  $Hg^{2^+}aq$  (eq 4) and therefore involves the direct attack

$$Hg_{2}^{2+} \xrightarrow{\text{last}} Hg^{2+} + Hg(0)$$
(4)

$$H_{py}^{+}CH_{2}Cr(H_{2}O)_{5}^{2+} + Hg_{2}^{2+} \cdot aq \rightarrow H_{py}^{+}CH_{2}Hg^{+} + Hg(0) + Cr(H_{2}O)_{5}^{3+} (5)$$

$$\begin{array}{c} H_{py}^{+}CH_{2}Cr(H_{2}O)_{s}^{2+} \xleftarrow{slow}{fast} H_{py}^{+}CH_{2} \cdot + Cr(H_{2}O)_{s}^{2+} \xleftarrow{O_{2}}{fast} \\ 4 & fast \\ products \quad (6) \end{array}$$

of  $Hg_2^{2^+}aq$  on the  $\alpha$  carbon with the formation of three species, the pentaaquachromium(III) ion 2, the new organomercury(II) cation, and mercury(0) (eq 5). The spectrum of the latter is evident in dilute solutions in the early stages of the reaction prior to aggregation. The majority of organometallic substrates react more readily with the low concentrations of  $Hg^{2^+}$ .

As aqueous acidic solutions have been used for all of the above studies, the reaction with the proton has special significance. In several cases under aerobic conditions the rates of decomposition of the organochromium ions are independent of the acid concentration (eq 3;  $k_2 = 0$ ) because homolysis of the carbon-chromium bond is rate determining (eq 6).<sup>16</sup> In other cases under anaerobic conditions there is both an acid-dependent and an acid-independent path. Only in the case of the methylpentaaquachromium(III) ion are the clean second-order kinetics and the organic product (methane) consistent with a bimolecular displacement reaction.<sup>17</sup>

The organopentacarbonylmanganese(I), organogold(III), and organopentacyanocobaltate(III) com-

piexes (5, 6, and 7, respectively) are further examples  

$$ArCH_{2}Co(CN)_{s}^{3-} + NO^{+} \xrightarrow{H_{2}O} Co(CN)_{s}^{2-} + ArCH_{2}NO \rightarrow ArCH=NOH (7)$$

$$B$$

$$H_{py}^{+}CH_{2}Mn(CO)_{s} + TICI_{3} \xrightarrow{H_{2}O} H_{py}^{+}CH_{2}TICI_{2} + 5$$

$$Mn(CO)_{s}^{+} + CI^{-} (8)$$

$$Me_{3}AuPPh_{3} + Hg(OAc)_{2} \xrightarrow{aq \ dioxane} MeHgOAc + 6$$

$$Me_{2}Au(OAc)PPh_{3} (9)$$

$$4 + H_{py}^{+}CH_{2}Mn(CO)_{s} \xrightarrow{H^{+} slow} Mn(CO)_{s}^{+} + H_{2}^{+}OH_{2}^{-} \xrightarrow{H^{+} fast} H_{py}^{+}CH_{3} (10)$$

$$(-)-MeCHBrCO_{2}Et + Mn(CO)_{s} \xrightarrow{H^{+}} (+)-MeCHBrCO_{2}Et + MeCHBrCO_{2}Et + Mec$$

9

17 man (stimular) and further enoughles

 $Mn(CO)_{s}Br$  (11)

of substrates that are not prone to oxidation, have nonlabile ligands, and undergo a number of direct displacement reactions. Thus,  $NO^+$  reacts with 1 (R = Ph or  $Hpy^+$ ) and 7 by a direct substitution to give, initially, the C-nitroso compound 8 which readily dimerizes or rearranges to the oxime.<sup>18</sup> Mercury(II) and thallium(III) species also react with 5,19 6,20 and 721 with clean second-order kinetics (eq 8 and 9); rate coefficients estimated for reaction of a range of mercury(II) and thallium(III) species with 5 are shown in the figure.<sup>19</sup> The acidolysis of 6 is also bimolecular and involves a direct displacement of only one of the methyl groups cis to the phosphine,<sup>22</sup> whereas the acidolysis of 5a is independent of acid concentration in up to 4 M perchloric acid; in contrast to the homolysis in eq 6, this reaction involves a unimolecular heterolysis (eq 10).<sup>23</sup>

Kinetic studies have not been carried out on the halogenation of the above complexes, but the bromination of 9 in tetrahydrofuran to give the bromide 10 ( $[\alpha]_{\rm D}$  1°) is believed to involve substantial retention of configuration at the  $\alpha$  carbon. This is based on the assumption that its formation from the same bromide ( $[\alpha]_{\rm D}$  -6°) and Mn(CO)<sub>5</sub><sup>-</sup> takes place with inversion of configuration.<sup>24</sup> Retention of configuration has also been observed in the bromination in benzene at 10° and iodination of diastereoisomers of d<sup>0</sup> t-BuCHDCHDZr(cp)<sub>2</sub>Cl,<sup>25</sup> which is perhaps the closest analogy to reactions of the main-group alkyls.

The course of these direct displacement reactions is probably dictated by two factors, the coordinative

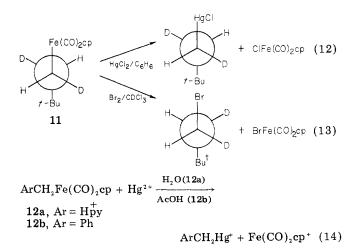
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saturation of the metal and the fact that the HOMO is the carbon-metal bond orbital.<sup>26</sup> Where other orbitals are close in energy to the carbon-metal bond orbital and the metal is not coordinatively saturated, other pathways may intrude and polarizability effects may dominate. In such circumstances consideration of the ground-state orbital energies alone may not be sufficient to determine the reaction course, which will be strongly influenced by the nature of the electrophile. For example, several organoiron complexes (e.g., 11 and 12a) react cleanly with metallic electrophiles such as  $HgCl_{2}$ ,<sup>27,28</sup> but the course of reaction with halogens is solvent dependent. Thus, diastereoisomeric 11 reacts with  $HgCl_2$  in benzene with retention of configuration (eq 12) and the rate profile for the reaction of 12a with



Hg(II) and Tl(III) species in aqueous solution almost exactly parallels that shown in Figure 1 for 5. On the other hand 11<sup>27a</sup> and other organoiron complexes<sup>27b</sup> react with iodine and bromine in aprotic solvent to give the corresponding alkyl halide with inversion of configuration (eq 13) and react with chlorine and bromine in protic solvents to give products characteristic of an initial oxidation process. It seems likely that the halogenation in aprotic solvents also involves oxidation as outlined in a later section.

Similar mechanistic borderlines are even more apparent with alkylcobalt(III) complexes. The reactions of several such complexes with mercury(II) species have been studied in detail<sup>29-34</sup> because of their relation to the role of methylcobalamin in the biological methylation of mercury. In almost all cases primary alkylcobalt(III) complexes, e.g., 13, react with aqueous Hg<sup>2+</sup>

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$$Me_2Co^{III}(chel) + PhHg^+ \xrightarrow{H_2O} MeHgPh +$$
  
13

$$MeCo^{III}(chel)^+$$
 (15)<sup>6,2</sup>

erythro-t-BuCHDCHDCo(dmgH)<sub>2</sub>py + Hg<sup>2+</sup>

14

$$\xrightarrow{H_2O} threo-t-BuCHDCHDHg^+ + Co(dmgH)_2py^+$$
(16)

and Tl<sup>3+</sup> with clean second-order kinetics, in some cases acid dependent because of a rate-retarding protonation of equatorial ligands, consistent with the direct substitution mechanism. Studies of the influence of anionic ligands on the reactivity of the mercury(II) species have not been in sufficient detail to allow precise conclusions about the reactivity of individual electrophiles.<sup>32</sup> The influence of micelles has also been studied.<sup>35</sup> The observation that diastereoisomeric 14 reacts with Hg<sup>2+</sup> with *inversion* of configuration is not necessarily at variance with the substitution mechanism because the leaving group is so large that the electrophile can only intereact with the rear lobe of the carbon-metal bond orbital. The use of such diastereoisomeric primary alkyl complexes is now common because of the low reactivity and/or difficulties in preparation of chiral secondary alkyl complexes and the problem of assigning their configuration.

Secondary alkylcobalt(III) complexes do not usually undergo clean substitution reactions with metallic electrophiles and, though primary and secondary organocobaloximes react under some conditions with halogens to give alkyl halides<sup>36,37</sup> with inversion of configuration, these reactions have characteristics of oxidation processes.

Besides the biological methylation of mercury, methylcobalamin has also been implicated in a number of other reactions in which alkyl groups are transferred to other metals.<sup>38</sup> Several preliminary studies have been made on the reactions with tin(IV),<sup>39</sup> lead(IV),<sup>40</sup> arsenic,<sup>41</sup> palladium(II),<sup>42</sup> platinum(II) and platinum-(IV), and gold(I) and gold(III).<sup>43</sup> The most unexpected of these results concerns the postulate that low-valent d<sup>8</sup> PdCl<sub>4</sub><sup>2-</sup> is the active electrophile in the formation of the unstable methylpalladium(II) complex 15 from

 $PdCl_4^{2-} + methylcobalamin(III) \xrightarrow{H_2O} MePdCl_3^{2-} +$ 15

$$Cl^- + cobalamin(III)^+$$
 (17)

-d[methylcobalamin]/dt =

k[methylcobalamin][Pt<sup>IV</sup>][Pt<sup>II</sup>] (18)

methylcobalamin.<sup>42</sup> In contrast, neither platinum(II)

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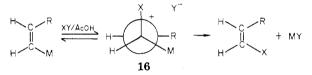
nor platinum(IV) reacts with methylcobalamin on their own: each requires the presence of the other in at least catalytic quantity, according to eq 18. A likely mechanism involves electrophilic attack on the  $\alpha$  carbon by platinum(IV) with reductive catalysis through interaction of platinum(II) with either cobalt or platinum. An identical situation arises with gold(I) and gold(III).43

Radical displacements are sometimes disguised as electrophilic reactions; thus, the displacement of cobalt(III) from some alkylcobalt(III) complexes by other electrophilic cobalt(III) complexes (eq 19)44 is catalyzed

$$\frac{RCo^{III}(dmgH)_{2}B + Co^{III}(salen)B^{+} \rightleftharpoons RCo^{III}(salen)B + Co^{III}(dmgH)_{2}B^{+} \quad (19)$$

by traces of cobalt(II) complexes, probably through a direct homolytic displacement at the  $\alpha$  carbon of the alkyl group.<sup>45</sup> Similarly, in the acidolysis of alkylcobaloximes in the presence of thiols,<sup>41</sup> the kinetic form is inconsistent with the proposed attack of a proton on an alkyl(thiolato)cobaloxime; radical pathways are also competitive in the reactions of alkylgold complexes with thiols.46

Electrophilic Attack at  $\alpha$  (Unsaturated) Carbon. The electrophilic displacement of cobalt(III) from cisand *trans*- $\beta$ -styrylcobaloximes by Hg(OAc)<sub>2</sub> and halogens in acetic acid proceeds with complete retention of configuration (e.g., eq 20; R = Ph, M = Co-



 $(dmgH)_2$ py; X-Y = AcOHg-OAc or Hal<sub>2</sub>).<sup>47</sup> Many other substituted vinylcobaloximes do not react stereospecifically, either because cationic intermediates such as 16 (e.g., R = EtOCO,  $XY = Br_2$ ) have sufficient lifetime for rotation to occur about the carbon-carbon bond or because radical pathways intrude.

#### **Reactions Involving Substantial Modification** of the Carbon-Metal Bond

Though in principle synchronous cleavage of the carbon-metal bond may also occur when electrophiles attack at other than the  $\alpha$  carbon, there is an appreciable tendency in such cases for the carbon-metal bond merely to be modified.

Rearrangement to Stable  $\pi$  Complexes through Electrophilic Attack at the  $\gamma$  Carbon of Allyl Complexes, and Related Reactions. Protonation, acylation, bromination, and alkylation of several  $\sigma$ allyliron complexes 17 in aprotic solvents lead directly to the corresponding terminal  $\pi$ -complex 18.<sup>48,49</sup> Related  $\sigma - \pi$  rearrangements include: stannylation<sup>50</sup> or protonation<sup>51</sup> of propargyliron complexes to give  $\pi$ -

- V. Duong, and A. Gaudemer, J. Chem. Soc., Perkin Trans. 2, 1262 (1976).
   (48) M. Rosenblum, Acc. Chem. Res., 7, 122 (1974).
- (49) M. L. H. Green and P. L. I. Nagy, J. Chem. Soc., 189 (1963).
   (50) C. V. Magatti and W. P. Giering, J. Organomet. Chem., 73, 85
- (1974)
  - (51) S. Raghu and M. Rosenblum, J. Am. Chem. Soc., 95, 3060 (1973).

$$ZCRCH_{2}Fe(CO)_{2}cp + XY \rightarrow Y^{-} + \frac{CH_{2}}{H} Fe^{+}(CO)_{2}cp \quad (21)$$

$$17 \quad Z = R'CH; XY = Hal, HCl, \quad 18$$

$$R_{3}O^{+}Y^{-}, RCO^{+}Y^{-}, etc.$$

$$19 \quad Z = O; X^{+} = H^{+} \text{ or } \qquad 20$$

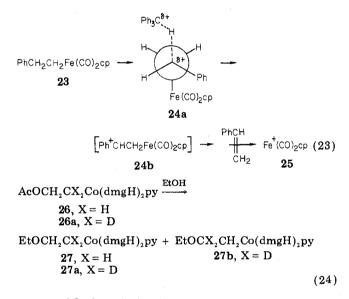
$$alkylating agent$$

$$cp(CO)_{2}FeCD < \begin{pmatrix} CH_{2} \\ H_{2} \end{pmatrix} + H^{+} \qquad cp(CO)_{2}Fe^{+} \qquad H^{+} CCH_{3} \qquad (22)$$

allene complexes; protonation or alkylation of a  $\beta$ carbonyl group as in 19 to give the  $\pi$ -complex 20;<sup>52</sup> and  $\alpha$  protonation of the deuterated cyclopropyliron complex 21 with subsequent prototropic shift to give the single  $\pi$ -complex 22.<sup>53</sup> The role of these complexes in organic synthesis, including further reactions of the  $\pi$  complexes in nucleophilic solvents, has been very well reviewed.48

22

Formation of  $\pi$  Complexes by Hydride Abstraction from  $\sigma$  Complexes. The trityl cation and related species readily abstract hydride ion from primary and secondary alkyl complexes to give  $\pi$  complexes.<sup>54</sup> For example, the  $\beta$ -phenylethyl complex 23



reacts with the trityl cation to give the  $\pi$ -complex 25; deuterium labeling indicates that the hydride abstraction takes place predominantly by a trans-antiperiplanar process through the gauche conformation 24a,<sup>55</sup> though a further intermediate 24b, configurationally stabilized by hyperconjugation.<sup>56</sup> cannot be ruled out.

Transient  $\pi$  Complexes in  $\sigma - \pi - \sigma$  Rearrangements. The reaction of  $\beta$ -acetoxyethylcobaloxime 26 in absolute ethanol gives the corresponding  $\beta$ -ethoxyethylcobaloxime 27 at a rate  $(k = 4.37 \times 10^{-6} \text{ s}^{-1} \text{ at})$ 25 °C) that is far higher than expected for a normal ethanolysis of a primary alkyl acetate.<sup>57</sup> That this and

- (54) M. L. H. Green and P. L. I. Nagy, J. Organomet. Chem., 1, 58 (1963). (55) D. Slack and M. C. Baird, J. Chem. Soc., Chem. Commun., 701 (1974).
  - (56) C. W. Fong and M. D. Johnson, unpublished work.

<sup>(44)</sup> G. Mestroni, C. Cocevar, and G. Costa, Gazz. Chim. Ital., 103, 273 (1973).

<sup>(45)</sup> D. Dodd, M. D. Johnson, and B. L. Lockman, J. Am. Chem. Soc., 99, 3664 (1977).

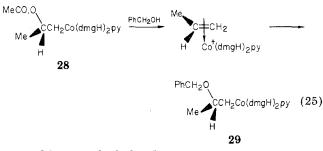
<sup>(46)</sup> N. G. Hargreaves, A. Johnson, R. J. Puddephat, and L. H. Sutcliffe, J. Organomet. Chem., 69, C21 (1974). (47) D. Dodd, M. D. Johnson, B. S. Meeks, D. M. Titchmarsh, K. N.

<sup>(52)</sup> M. L. H. Green and C. R. Hurley, J. Organomet. Chem., 10, 188
(1967); S. Raghu and M. Rosenblum, *ibid.*, 77, 381 (1970).
(53) A. Cutler, R. W. Fish, W. P. Giering, and M. Rosenblum, J. Am.

Chem. Soc., 94, 4354 (1972).

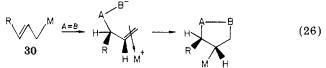
related reactions involve the formation of a cobalt(III)  $\pi$  complex has been demonstrated by the formation of equal amounts of 27a and 27b from 26a;58 this rearrangement has been confirmed by carbon-13 labeling.<sup>59</sup>

The formation of [(+)-S]-2-(benzyloxy)propylcobaloxime 29 from [(+)-S]-2-acetoxypropylcobaloxime



28 and benzyl alcohol indicates that formation of the  $\pi$  complex takes place with a stereospecific antarafacial migration of the metal.  $^{58}$  Transient  $\pi$  complexes are also formed in a number of other acid-catalyzed reactions of cobaloximes, including the rearrangement of  $\beta$ -hydroxyisopropyl- to  $\beta$ -hydroxy-*n*-propylcobaloxime catalyzed by acidic ion-exchange resins<sup>59</sup> and the hydrolysis of acetals of formylmethylcobaloxime.<sup>60,61</sup>

 $\sigma - \pi - \sigma$  Rearrangements with Cyclization. The earliest example of cyclization via  $\pi$ -complex formation was in the reaction of  $\sigma$ -propargyl complexes with sulfur dioxide.<sup>62</sup> A wide range of related cyclizations have since been observed with allenyl, allyl, and cyclopropylcarbinyl complexes of a variety of metals with a variety of unsaturated electrophiles,<sup>63–65</sup> and these have been well reviewed.<sup>48</sup> In the case of allyl complexes 30



 $M = (from) Co(dmgH)_2 py, Mn(CO)_5, Fe(CO)_2 cp, Re(CO)_5,$ W(CO)<sub>3</sub>cp, etc.

 $A=B = (from) TCNE, (CF_3)_2CO, ClSO_2NCO, etc.$ 

the rearrangements involve an antarafacial migration of the metal induced by attack of the dipolar or potentially dipolar electrophile.<sup>63,65</sup> However, a number of these reactions are complicated by competing processes involving electron transfer and insertion reactions.

Insertion Reactions. Insertion, particularly of SO<sub>2</sub><sup>64</sup> and TCNE,<sup>66</sup> between the organic ligand and the metal of allyl- and alkyl-metal complexes, though far from

(57) B. T. Golding, H. L. Holland, U. Horn, and S. Sakrikar, Angew. Chem., 9, 959 (1970). (58) B. T. Golding and S. Sakrikar, J. Chem. Soc., Chem. Commun.,

1183 (1972).

(59) K. L. Brown and L. L. Ingraham, J. Am. Chem. Soc., 96, 7681 (1976). (60) R. B. Silverman and D. Dolphin, J. Am. Chem. Soc., 98, 4626 (1976).

(61) T. M. Vickerey, R. N. Katz, and G. N. Schrauzer, J. Am. Chem.

Soc., 97, 7248 (1975). (62) J. E. Thomasson, P. W. Robinson, D. A. Ross, and A. Wojcicki, Inorg. Chem., 10, 2130 (1971).

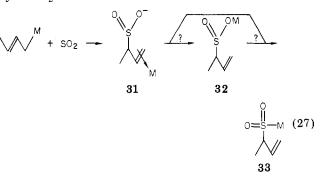
(63) A. Cutler, D. Entholt, W. P. Giering, P. Lennon, S. Raghu, A. Rosan, M. Rosenblum, J. Tancrede, and D. Wells, J. Am. Chem. Soc., 98, 3495 (1976).

(64) S. R. Su and A. Wojcicki, *Inorg. Chem.*, **14**, 89 (1975); W. P. Giering and M. Rosenblum, *J. Am. Chem. Soc.*, **93**, 5299 (1971).

(65) D. Dodd, M. D. Johnson, and E. D. McKenzie, J. Am. Chem. Soc., 98, 6399 (1976).

(66) A. Wojcicki, Acc. Chem. Res., 4, 344 (1971); Adv. Organometal. Chem., 12, 31 (1974).

fully understood, has frequently been assumed to involve an electrophilic attack of the inserting species. The situation is often complicated by the formation of several isomeric products such as 32 and 33 and also by the fact that some reactions (e.g., where M = Co-(dmgH)<sub>2</sub>py) are not true insertions, but probably involve chain reactions including homolytic displacement by MSO<sub>2</sub> radicals.<sup>67</sup>



Formation of Transient Carbene Complexes. Attack of an electrophile, especially of a proton, at a nucleophilic  $\beta$  atom can lead to the formation of carbene-like complexes. Thus, 34 (R = alkyl) is readily

$$ROCH_{2}Fe(CO)PPh_{3}cp + HCl \Rightarrow [CH_{2}-Fe(CO)PPh_{3}cp] \Rightarrow$$

$$34 \qquad 35$$

$$ClCH_{2}Fe(CO)PPh_{3}cp + ROH (28)$$

$$36$$

$$CH_{2}=C=CHFe(CO)_{2}cp \xrightarrow{H^{+}} MeC\equiv CFe(CO)_{2}cp$$

$$37 \qquad 38$$

$$\xrightarrow{H^{+}/H_{2}O} MeCH_{2}COFe(CO)_{2}cp (29)$$

$$20$$

converted into the chloroalkyl complex 36 (optically active in the case of the resolved diastereoisomeric complex 34, R = menthyl),<sup>68b</sup> probably via the transient carbene complex 35,<sup>68</sup> similarly, the  $\sigma$ -allenyl complex 37<sup>69</sup> is hydrolyzed to the alkynyl complex 38 and thence to the acyl complex 39, probably via first a  $\pi$ -acetylene and then a carbene complex.<sup>49,70</sup>

#### **Oxidative Processes**

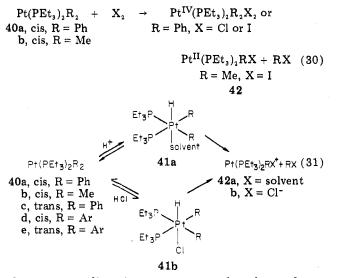
Electrophilic Attack on the Metal. Attack of the electrophile on the metal is most likely to occur with coordinatively unsaturated low-valent complexes such as the d<sup>8</sup> and d<sup>10</sup> organoplatinum(II), -iridium(I), and -gold(I) complexes. For example, the *cis*-platinum(II) complex 40a reacts with chlorine or iodine in aprotic solvents to give the platinum(IV) adduct, whereas 40b reacts with iodine to give the platinum(II) complex 42 and the alkyl iodide.<sup>71</sup> Alkyl halides react in a similar manner, but the formation of the alkane  $R_2$  and trans-Pt(PEt<sub>3</sub>)<sub>2</sub> $I_2$  from 40<sup>71</sup> and alkyl iodides is strongly indicative of free radical processes.

Alkyl groups are readily cleaved by acids from monoalkylbis(phosphine)palladium(II) complexes,<sup>72</sup> but

(67) A. E. Crease, A. Gaudemer, and M. D. Johnson, unpublished work. (68) (a) M. L. H. Green, M. Ishaq, and R. Whiteley, J. Chem. Soc. A, 1508 (1967); (b) T. C. Flood, F. G. DiSanti, and D. L. Miles, Inorg. Chem., 15, 1910 (1976).

(69) Complex 51 has twice been wrongly characterized,<sup>49,70</sup> the incorrect structures being quoted in most reviews. Cf. M. D. Johnson and C. Mayle, J. Chem. Soc., Chem. Commun., 192 (1969).

 (70) P. W. Jolly and R. W. Petiti, J. Organomet. Chem., 12, 491 (1968).
 (71) J. Chatt and B. L. Shaw, J. Chem. Soc., 705 (1959); 4020 (1959). (72) J. K. Stille and K. S. Y. Lau, J. Am. Chem. Soc., 98, 5841 (1976). the reaction of dialkyl- and diarylplatinum(II) complexes with acid is a convenient route to monoalkyl or aryl complexes. Thus, the diphenyl complexes 40a and 40c react with anhydrous HCl in aprotic solvents to give



the corresponding cis or trans monophenyl complexes, respectively. The kinetics of reaction of several cis- $PtAr_2(PEt_3)_2$  complexes 40d in methanol are cleanly second order<sup>73,74</sup> and are accelerated by electron-donating groups in the aromatic rings (the Hammett reaction constant  $\rho$  is -4.6).<sup>74</sup> Added chloride ion causes no change in the rate of acidolysis, but ensures that the product 42b has the same stereochemistry as the substrate. In the absence of a nucleophilic anion like chloride ion, the more stable  $trans-Pt(PEt_3)_2Ar(solvent)$ 42a is formed. These reactions have been interpreted as involving an initial attack of the proton on the metal in a rapid preequilibrium step to give a low concentration of an intermediate platinum(IV) complex 4175 which slowly extrudes the aromatic hydrocarbon.73,74 However, the observed kinetics are equally in accord with a direct displacement by attack of the proton at the  $\alpha$  carbon, and there is no evidence for the complex **41**. While it is semantic to consider that the attack of the proton commences at one or other center, especially if the carbon-platinum bonding orbital is involved, it is important to establish if an intermediate is formed or not.

In the acidolysis of the dimethyl complex 40b, there is an additional kinetic term involving chloride ion (eq 32) which has been interpreted as chloride ion en-

$$-d[40b]/dt = k_2[40b][H^+] + k_3[40b][H^+][Cl^-]$$
(32)

$$RAuPPh_{3} + HgXY \xrightarrow{aq} dioxane RHgY + XAuPPh_{3} (33)$$
43, R = Y = Cl, Br, OAc
Me Et X = alkyl Cl Br OAc

an diavana

$$R_2AuPR_3 + R'X \rightarrow R_2Au(R')PR_3 \rightarrow RAuPR_3 + RH$$
 (34)  
44

hancement of the formation of the platinum(IV) intermediate as 41b.<sup>76</sup> Similar ambiguities arise in the

 (74) R. Romeo, D. Minniti, S. Lanza, P. Uguaghati, and U. Belluco, Inorg. Chim. Acta, 19, L55 (1976).
 (75) Shown here only for the reaction of the cis complexes.

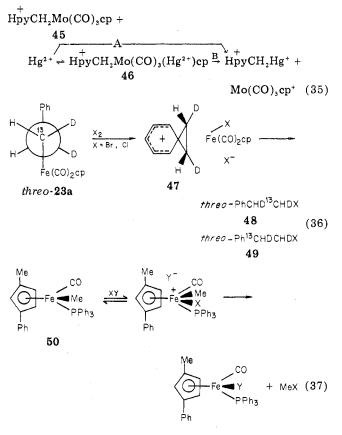
(76) U. Belluco, M. Giustiniani, and M. Graziani, J. Am. Chem. Soc.,
 89, 6494 (1967).

cleavage of alkylgold(I) complexes 43 by mercury(II) electrophiles; these reactions are cleanly second order and there is no evidence for the formation of any intermediate alkylgold(III) complexes.<sup>20</sup> Similarly, the postulate that gold(III) intermediates (44, R = H) are

formed in the acidolysis of several dialkylaurate(I) complexes is based solely on the formation of gold(III) species (44, R = alkyl) in the reaction with other electrophiles.<sup>77</sup>

There are probably many cases of attack of the electrophile on the metal, though it is clear that they are often difficult to verify. The reaction of  $Hg^{2+}$  with 45 in aqueous solution involves a very fast but reversibly bimolecular formation of an intermediate 46 accompanied by a much slower appearance of an organomercury complex.<sup>78</sup> It is proposed that the latter is formed by a reaction between  $Hg^{2+}$  and small amounts of free 45 (eq 35, path A) rather than the kinetically indistinguishable unimolecular reaction in path B.<sup>78</sup>

This inability to decide from kinetic evidence alone whether an observed intermediate is on the reaction path or in a cul-de-sac is a major problem which also arises in the reaction between HgCl<sub>2</sub> and several organoiron complexes in THF. These reactions are complicated by the formation of a variety of products and by mixed orders in [HgCl<sub>2</sub>], but the observation of ClHgFe(CO)cp among the products is indicative of attack of Hg(II) on the metal at an early stage of the reaction.<sup>79</sup> Attack at iron is also proposed for the reaction of bromine and chlorine with diastereoisomeric **23a** which gives two halides, **48** and **49**, with substantial



(77) A. Tamaki and J. K. Kochi, J. Chem. Soc., Dalton Trans., 2620
(1973).
(78) J. Z. Chrzastowski and M. D. Johnson, J. Chem. Soc., Dalton Trans.,
2456 (1976).
(79) L. J. Dizikes and A. Wojcicki, J. Am. Chem. Soc., 97, 2540 (1975).

retention of configuration;<sup>80</sup> a phenonium ion 47 is proposed as the key intermediate. A comparable rearrangment has been demonstrated using the 1.1-dideuterio derivative of  $23.^{81}$  It is most important in such reactions to take adequate note of the role of solvent. The partial epimerization of diastereoisomeric 50 during its reaction with a deficiency of  $I_2$ , HI, or  $HgI_2$  is also indicative of an attack on iron.82

Electrophilic attack on the metal is thus formally a two-electron oxidation process liable to induce subsequent free-radical reactions and difficult to distinguish from other oxidative processes involving electron transfer. For example, the bromination of benzylaquacobaloxime 51 ( $\bar{R} = PhCH_2$ ) in aqueous acidic solution is rapid, and the products are benzyl alcohol, the O-benzyl derivative of dimethylglyoxime 53 and their 4-brominated derivatives.<sup>83</sup> No benzyl bromide is formed and the extent of ring bromination is ca. 75%. Using more concentrated solutions in acetic acid, benzyl bromide is formed without ring bromination, possibly through attack of bromide ion or bromine atoms on an oxidized intermediate such as 52.

Similar results may be achieved using outer sphere oxidants such as IrCl<sub>6</sub><sup>2-</sup>. Thus, several organocobaloximes 51 are oxidized to organocobalt(IV) species 52 which are sufficiently stable at low temperatures to allow characterization.<sup>84</sup> They decompose by a variety of routes; a bimolecular disproportionation regenerates the cobalt(III) substrate 51 and gives fission products (eq 38), but in the presence of nucleophilic solvents or

$$2RCo^{III}(dmgH)_{2}aq \xrightarrow{IrCl_{6}^{2^{-}/H_{2}O}} \xrightarrow{1^{1}/_{2}R_{2} + 51 + Co^{III}(dmgH)_{2}aq (38)} \xrightarrow{1^{1}/_{2}R_{2} + 51 + Co^{III}(dmgH)_{2}aq (38)} \xrightarrow{7^{2}} RON = CMeCMe = NOH$$

$$2[RCo^{IV}(dmgH)_{2}aq]^{-} \xrightarrow{7^{2}} S3$$

$$52 \xrightarrow{ROH} R'OR + H^{+} + Co^{II}(dmgH)_{2}aq$$

$$Br^{-}(Br^{-}) \qquad R' = H \text{ or alkyl}$$

$$2RBr + Co^{II}(dmgH)_{2}aq (39)$$

$$\mathbf{RX} + [\mathbf{M}^{N-2}]^{n-} \xrightarrow{\text{slow}} \mathbf{X}^{-} + [\mathbf{RM}^{N}]^{(n-1)-} \xrightarrow{\mathbf{e}}_{\mathbf{+e}^{-}} [\mathbf{RM}^{N+1}]^{n-} + \\ \mathbf{X}^{-} \xrightarrow{\text{fast}} \mathbf{RX} + [\mathbf{M}^{N-1}]^{(n-1)-}$$
(40)

added nucleophiles such as bromide ion, nucleophilic attack may occur at the  $\alpha$  carbon (eq 39). Such attack occurs with inversion of configuration and may be confused with a direct electrophilic substitution.<sup>36,37</sup> It is an interesting example of how organometallic complexes in the Nth oxidation state and normally inert to nucleophilic substitution may be activated by a preliminary oxidation to the (N + 1)th oxidation state (eq 40).

Oxidation of alkylmetalcarbonyl complexes may also lead to interesting products. For example, the first stage in the oxidation of 54 and 55 by  $IrCl_6^{2-}$  is bi-molecular and rapid  $(k_2 \gg 10^5 L \text{ mol}^{-1} \text{ s}^{-1} \text{ at } 25 \text{ °C}$  in aqueous solution);<sup>78</sup> subsequent rapid stages lead to the

$$(-)-sec-BuCOFe(CO)(PPh_3)cp \xrightarrow[H_2O]{} 57$$

$$L(-)-CH_3CH_2CH(CH_3)CO_2H$$
 (42)

$$\frac{\operatorname{RFe}(\operatorname{CO})_{4}}{58} \xrightarrow{\operatorname{Br}_{2}, \operatorname{O}_{2}, \operatorname{or } \operatorname{Ce}^{IV}} \operatorname{RCO}_{2} \operatorname{R}'$$
(43)

carboxylic acid via a carbonyl insertion reaction. The same rate of unimolecular insertion of the intermediate 56 has been observed following oxidation by both IrCle<sup>2-</sup> and NO<sup>+.85</sup> Similar oxidative formation of carboxylic acids and esters has been observed with complexes 11 and 57 oxidized by chlorine in aqueous solution,<sup>24,86</sup> with 57 or other organoiron complexes oxidized by cerium(IV) in methanol,<sup>86</sup> and especially with alkyltetracarbonylferrates 58 oxidized by a variety of electrophilic reagents. These latter reactions have considerable preparative value and have been well reviewed.<sup>87</sup>

#### **Reactions Involving Minimal Changes in the** Carbon-Metal Bond

There are a great many reactions of electrophiles with organometallic complexes which do not involve substantial changes in the character of the carbon-metal bond. It is beyond the scope of this review to describe these in detail, but it is useful to distinguish between two types: reactions of ligands at positions remote from, and barely influenced by, the metal and reactions which cause sufficient change in the electronic character of the metal to influence the rates of further, more substantial, reactions at the carbon-metal bond. The latter include preequilibria of particular relevance to the reactions discussed earlier: (i) prototropic equilibria of the pyridine derivatives such as 4, 5, 7, and 54 (eq 44) which

$$4 \cdot H_{py}^{\top} CH_2 M + H_2 O \rightleftharpoons py CH_2 M + H_3 O^{+}$$
(44)

M = Co(CN)<sub>5</sub><sup>3-</sup>, p
$$K_a$$
 = 9.7; M = Fe(CO)<sub>2</sub>cp, p $K_a$  = 8.0; M = H, p $K_a$  = 5.2

H+  $RCo(dmgH)_{2}aq \rightleftharpoons RCo(dmgH)(dmgH_{2})aq^{+}$ R

$$Co(dmgH_2)_2 aq^{2+} (45)$$

$$\frac{\mathrm{RCo(CN)}_{\mathrm{s}^{3}}}{59} \xrightarrow{\mathrm{H}^{*}/\mathrm{H}_{2}\mathrm{O}} \mathrm{RCo(CN)}_{\mathrm{4}}\mathrm{CNH}^{2^{-}} \rightarrow 59}$$

$$RC(=NH)Co(CN)_4aq^{2}$$
 (46)  
60

$$2RCo(dmgH)_{2}CN^{-} \xrightarrow{H^{+}(-HCN)} RCo(dmgH)_{2}CNCo(dmgH)_{2}R^{-} \xrightarrow{61} \qquad 62$$

$$\stackrel{H^{+}}{\longleftrightarrow} RCo(dmgH_{2})(dmgH)CNCo(dmgH)_{2}R \qquad (47)$$

$$63$$

are themselves influenced by the nature of the metal substituent;<sup>88</sup> (ii) reversible protonation of dioximato ligands  $(eq 45)^{55}$  of relevance to the reactions of alkylcobaloximes in acidic solution;<sup>29-31,34,84</sup> (iii) protonation and mercuration of the 5,8-dimethylbenz-

(87) J. P. Collman, Acc. Chem. Res., 8, 342 (1975).

 <sup>(80)</sup> D. A. Slack and M. C. Baird, J. Am. Chem. Soc., 98, 5539 (1976).
 (81) T. C. Flood and F. J. DiSanti, J. Chem. Soc., Chem. Commun., 18 (1975).

<sup>(82)</sup> T. G. Attig and A. Wojcicki, J. Am. Chem. Soc., 96, 262 (1974). (83) S. N. Anderson, D. H. Ballard, J. H. Espenson, and M. D. Johnson, unpublished work.

<sup>(84)</sup> J. Halpern, M. S. Chan, J. Hanson, T. S. Roche, and J. A. Topich, J. Am. Chem. Soc., 97, 1606 (1975).

<sup>(85)</sup> J. Z. Chrzastowski and M. D. Johnson, unpublished work.
(86) S. N. Anderson, C. W. Fong, and M. D. Johnson, J. Chem. Soc., Chem. Commun., 163 (1973).

<sup>(88)</sup> M. D. Johnson and N. Winterton, J. Chem. Soc. A, 507 (1970).

imidazole ligand of methylcobalamin,<sup>32</sup> which has a significant influence on the rate of displacement of cobalt by mercury(II); (iv) protonation of a cyanide ligand of alkylpentacyanocobaltates 59 which induces a unimolecular rearrangement to an insertion product 60;<sup>89,90</sup> and (v) protonation of cyanide ligands of organo(cvano)cobaloximes 61 which leads to bridged complexes 62 and 63.91,92

(89) M. D. Johnson, M. L. Tobe, and L. Y. Wong, J. Chem. Soc. A, 923 (1968); 929 (1968). (90) J. Kwiatek, Catal. Rev., 1, 37 (1967).

These reactions, and those of the former class, serve one particularly useful purpose: they illustrate that the reactivity of carbon-metal bonds toward electrophiles is not inherently greater than that of other organic molecules but depends much upon the exact nature of the molecules concerned.

I acknowledge with gratitude my debt to the co-workers named in the references and to many friends for stimulating discussions!

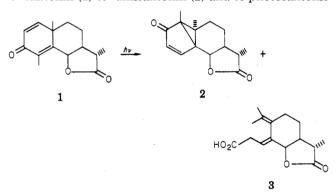
(91) A. L. Crumbliss and P. L. Gauss, Inorg. Chem., 14, 486 (1975). (92) D. Dodd and M. D. Johnson, J. Chem. Soc., Dalton Trans., 1218 (1973).

## Mechanisms of Photochemical Transformations of **Cross-Conjugated Cyclohexadienones**

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Cross-conjugated cyclohexadienones have been subjected to intensive photochemical studies for many years. Originally, these compounds fascinated organic chemists because of the deep-seated rearrangements which occurred when irradiated with ultraviolet light in the 250-370 nm region, typified by the conversion of santonin (1) to lumisantonin (2) and to photosantonic



acid (3).<sup>1</sup> The extensive studies which elucidated the sequence of thermal and photochemical events involved in these and other complex transformations have been summarized in several reviews.<sup>2</sup>

Following the pioneering studies by Barton, Jeger, van Tamelen, and their groups which established the structures of the photoproducts of santonin and related steroid dienones, a unifying mechanistic concept was proposed in 1961 and 1962 by Zimmerman and this author<sup>3</sup> which satisfactorily rationalized the nature of the primary processes occurring on irradiation of such

Scheme I Ö∤(n) (n)R R<sub>2</sub> в 4 С  $R_2$ 5

dienones (Scheme I). Thus, the formation of bicyclo[3.1.0]hexenone (5) from a model 2,5-cyclohexadienone (4) was postulated to occur in a series of events involving (a) formation of the  $n,\pi^*$  singlet excited state, symbolized as A, (b) intersystem crossing to the triplet  $n, \pi^*$  state B, (c) bridging ("rebonding") to give C, which is still an electronically excited molecule of the  $n,\pi^*$ type, (d) electron demotion to give the ground-state zwitterion D, and finally (e) rearrangement of D to lumiketone 5, a process subsequently designated a sigmatropic shift of order [1,4]. Precedent and theory were cited for all of these steps. Furthermore, this approach was successful in rationalizing the formation of rearranged products (phenols and ketones) involving

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